

Technical Report

Challenges and Opportunities for Catalysing Corporate Water Stewardship: Ganga Basin

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The CEO Water Mandate, an initiative of the United Nations Global Compact, has partnered with a number of leading global companies to launch an industry-driven, CEO-led initiative, the Water Resilience Coalition. The Coalition aims to preserve the world's freshwater resources through collective action in water-stressed basins and ambitious, quantifiable commitments. As part of this initiative, the Water Resilience Coalition has undertaken analyses to understand the status of water-stressed basins across the world in order to drive water-related collective action among companies with the goal to create positive impact in 100 basins across the world.

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Executive Summary

The Ganga basin is the largest in India. Some of the major cities in the basin include Delhi, Agra, Jaipur, Bhopal, and Allahabad. The river basin is economically important as it is home to some notable companies like Pepsico, Nestlé, and The Coca-Cola Company. The basin is also a major agricultural belt and extremely important to food production in the country.

This report contributes to a broader effort to mitigate water stress through facilitating corporate water stewardship by the Pacific Coalition, Pacific Institute, and the UNGC CEO Water Mandate via the Water Resilience Coalition. The Water Resilience Coalition's ongoing corporate water stewardship programme is an attempt to catalyse collective action in water-stressed basins toward the most pressing water challenges.

The report presents our current understanding of six water challenges in the Ganga river basin. Water quality issues and water stress were identified as the main areas of concern. Opportunities to address these water challenges have also been identified.

In the Ganga basin, the following challenges were identified:

First, a major portion of the basin has limited access to safe drinking water sources and low use of safe sanitation facilities. A lack of available and reliable water sources, improper infrastructure, and cultural biases have resulted in restricted access to WASH (water, sanitation, and hygiene) services. The huge consumption of water by the agricultural sector also affects the availability of water for domestic purposes.

Second, pollution due to the release of untreated sewage and industrial pollution is a major concern as most sub-basins have low treatment capacity. Illegal housing and industrial areas in many parts of the basin preclude the creation of proper drainage systems. A huge amount of money has been allocated to increasing treatment capacity in the basin, but this has not been implemented. Insufficient monitoring also results in many water bodies being neglected.

Table 1.1: Summary of the major water challenges identified in the Ganga basin

Opportunities

Based on the assessment of the baseline indicators in the Ganga basin multiple opportunities for collective action were recommended. For solving the issue of water quality, increasing the capacity of treatment of wastewater and treatment of geogenic and industrial contaminants is needed. Nature-based solutions are needed to be installed across the basin to ensure water bodies have good ambient quality. To solve the water quantity issues, assistance needs to be provided to help farmers make sustainable transitions to low water using crops that also earn sufficient income. Recharge in the basin also needs to be increased, particularly of flood waters and potentially treated wastewater.

Chapter 1: Introduction

The Ganga (Ganges) is a transboundary river with headwaters in the Hindu Kush Himalayan region. It begins at the confluence of the Bhagirathi and Alaknanda at Devprayag in the Tehri Garhwal district of Uttarakhand in India and flows for almost 2,500 km through India and Bangladesh before emptying into the Bay of Bengal. The river starts in the mountains at Rishikesh and enters the plains at Haridwar, in Uttarakhand. The upper Ganga basin is the part just below the Kanpur barrage in Uttar Pradesh (Bhadwal et al., 2017).

The upper Ganga basin is 4,03,227.61 sq. km in area. It consists of seven major rivers: Yamuna, Betwa, Chamba, Ken, Gambhir, Banas, and Ramganga-Deoha. The basin spreads across seven Indian states. The population in the basin is 193.09 million, of which 36.4% reside in urban areas and the rest in rural areas (Census 2011). Some notable companies active in the basin are Pepsico, Nestlé, The Coca-Cola Company, Jindal Handtex Pvt Ltd, Vimal Food Industries, and Everest Beverages & Food Industries Private Limited. Agriculture and industries (manufacturing industries including beverages, textiles, and transport equipment; commercial establishments including hotels, restaurants, and schools) also have a presence in the basin.

There are multiple water challenges in the basin. Identifying these challenges helps to prioritise the water issues to which businesses can contribute. Using performance metrics and targets, businesses can make basin context–specific efforts that they can align with those of other stakeholders. This report, therefore, maps out the challenges in the basin and opportunities to address them through collective action using six themes: i) WASH, ii) water quantity, iii) water quality, iv) important water-related areas, v) water governance, and vi) water crisis.

For each water challenge there are different basin context metrics. Further, for each basin context metric or indicator used, the state of each sub-basin, challenges, gaps, and opportunities for businesses have been described. Along with this, the current and desired states for each basin context metric have been laid out. An analysis of each sub-basin was conducted using secondary data available on government websites, Geographical Information System (GIS) layers, and a review of the literature.

The Sustainable Development Goals or SDGs were agreed upon by world leaders in the year 2015. The 17 goals aim at creating a better world by the year 2030 by ending poverty, fighting inequality, and addressing the urgency of climate change. The 6th goal focuses on ensuring availability, sustainable management of water and sanitation for all, wastewater and ecosystems, and acknowledging the importance of an enabling environment. Each water challenge was analysed using various targets under this goal as indicators.

Base layers

The basin, sub-basin, stream, and river networks were delineated using Cartosat DEM version3 in the ArcGIS software. The district shapefile was downloaded from the Bhuvan-2D archive, compared with secondary data on government websites, and corrected accordingly (e.g., new districts were digitised). The district shapefile was then combined with the secondary data using the GIS tool. Values at the district level were then brought to the sub-basin level using the 'Tabulate Intersection' tool to get weighted averages.

Figure 1.1: Study area map of the upper Ganga basin, Ganga River, and its tributaries

Figure 1.2: Study area map showing the district boundary

Figure 1.3: Study area map showing the upper Ganga sub-basin

Chapter 2: Access to Water, Sanitation and Hygiene

Access to safe drinking water

SDG 6.1 seeks to achieve access for all to safe drinking water. This indicator, therefore, tracks the population that has access to improved drinking water sources including piped water, boreholes or tubewells, protected dugwells, protected springs, rainwater, and packaged or delivered water.

The data on the access to functional household tap connections (FHTC) was collected at the district level for all the districts in the basin (NJJM, 2022). Using the weighted average method, the values were calculated at the sub-basin level. The percentage of households with functional tap connections was then estimated for each sub-basin. In the upper Ganga basin, 49.9% of households have access to functional tap connections. The middle Ganga sub-basin has the lowest access, at 33.6%, while the Yamuna sub-basin has the highest access, at 69.9%. Sub-basins that are more urbanised have better access to functional household taps.

The Jal Jeevan Mission project by the Government of India aims to provide a functional tap connection with treated water to every rural household for drinking purposes. It aims to ensure access to 55 litres per capita per day (lpcd). Under this mission, which was launched in 2019, the government seeks to enable every household in every village to have an FHTC by 2024 (Ministry of Jalshakti, 2019).

Most households in rural areas still depend on water from tanks, rivers, lakes, or sources that are not within their premises. The sources of water are limited because of issues like low base flows in the river or low-quality water that is unsuitable for consumption (Mukherjee, 2022).

Some existing gaps in the drinking water sector are as follows:

- There is inadequate infrastructure to raise the service level from 40 to 55 lpcd. There is an absence of source sustainability measures in the form of rainwater harvesting or groundwater recharge structures. Grey water management is inadequate, resulting in the contamination of water sources.
- Groundwater resources are under increasing pressure due to over-reliance and unsustainable consumption. Wells, ponds, and tanks are drying up, which means that fetching water in these districts has become harder as the water table has fallen.
- As per the Central Ground Water Board (CGWB), many assessment units are contaminated with nitrate and/or salinity due to geogenic and anthropogenic causes.

● There has been a failure in the operation and management of infrastructure, an absence of institutional arrangements, and mismanagement of resources (Snehalata et al., 2014).

Access to safe sanitation

SDG 6.2 aims to achieve access to sanitation and hygiene for all. This indicator, therefore, tracks the population that has access to improved sanitation facilities including flush/pour flush to the piped sewer system, septic tanks, or pit latrines; and ventilated/improved pit latrines, composting toilets, or pit latrines with slabs.

Data on the number of households with access to toilets (SBM, 2021) and the proportion of the population using safe sanitation services (National Family Health Survey, 2020) was collected at the district level for all districts in the basin. Using the weighted average method, values were calculated at the sub-basin level. Then the following were estimated for each sub-basin: i) the percentage of households with access to individual or community toilets in the region and ii) the percentage of the population living in households that use an improved sanitation facility.

In the upper Ganga basin, 99.9% of households have access to toilets while only 54.4% of the population uses safe sanitation facilities. The middle Ganga sub-basin has the lowest access, at 28.0%, while the Gambhir sub-basin has the highest access, at 67.2%.

The Swachh Bharat Mission was launched in 2014 with the aim of achieving universal sanitation coverage. The mission has strived to improve the living conditions of people by constructing individual and community toilets and eliminating open defecation (Ministry of Drinking Water and Sanitation, 2014). Complete (100%) coverage of toilets has been possible as the government has created community and public toilets wherever private toilets were impossible to build. Private toilets are difficult to build in many places due to the lack of awareness of the linkage between sanitation and health, the lack of space in which to build toilets in individual houses, and the sheer unaffordability of private toilets, even with external subsidies. While under the mission the government was able to achieve a high level of coverage, most toilets are either not functional or not used. For this reason, the proportion of the population using safe sanitation services has been used to get a better understanding of the on-ground situation.

Even with the high coverage of toilets in the basin, usage of them is extremely low. Uttar Pradesh has low coverage for both household sanitation and drainage services. The general rural population opines that owning and using a toilet is not a household priority but a luxury. In this regard, the middle Ganga sub-basin is worse off than other sub-basins, as the majority of its population resides in rural areas. Most community and public toilets lack tap water connections. Low latrine usage is due to a lack of

awareness of the importance of sanitation, water scarcity, poor construction standards, and the expensive policy on standardised latrines by the government (Snehalata et al., 2014; Akhilesh & Gudavarthy, 2022).

Some existing gaps in the use of safe sanitation services are as follows:

- Lack of awareness programmes to address cultural and caste biases.
- Lack of reliable sources of water.
- Lack of monitoring systems to ensure that toilets are maintained after construction.

Figure 2.1: Map showing the proportion of households with access to tap water

Figure 2.2: Map showing the proportion of the population using safe sanitation

Figure 2.3: Map showing the proportion of households with access to toilets

Table 2.1: Basin Context Metrics- Access to water, sanitation and hygiene

Chapter 3: Water Quantity

Level of water stress

SDG 6.4.2 seeks to ensure sustainable withdrawal and supply of freshwater by tracking how much freshwater is being drawn by all economic activities, and comparing it to the total renewable freshwater resources available.

The amount of water abstracted was estimated for three sectors: agriculture, industry, and domestic. For the agriculture water demand, the data for the area covered under each crop was obtained at the district level. The data for potential evapotranspiration (PET), rainfall (IMD, 2021), and crop coefficient (Kc) was also obtained at the district level. The effective rainfall (ER) table was used to estimate the portion of the rainfall that is necessary to meet crop water needs ([Annexure](#page-54-0) A). The following formulae were then used to estimate the crop water requirement (CWR) and the irrigation water requirement (IWR) for each sub-basin:

CWR = PET × Kc × Area under crop

IWR = CWR – ER

For the domestic water demand the population for each district was obtained. A water demand of 55 lpcd (DDWS, n.d.) and 135 lpcd (CPHEEO, 1999) was assumed for villages and towns, respectively. The domestic water demand for each district was then estimated using the following formula:

Domestic water demand = ((Population in villages × 55) + (Population × 135)) × 365

For industrial water demand, data for the type and number of manufacturing, commercial, and institutional industries in each district was obtained. Data on the number of employees in each establishment was also acquired. The litre per employee per day (lped) coefficient was applied to estimate industrial and commercial water use (R et al., 2021).

Using the weighted average method, the amount of water abstracted by each sector was calculated at the sub-basin level. The sum of the three water demands gave the total water demand of all sectors in the basin.

To estimate the water availability in the basin, the amount of utilisable surface water and groundwater recharge amounts were obtained from government reports(Central Ground Water Board, 2021;Central Water Commission, 2014) The calculations are done with the assumption that all the water in the basin can be captured for use. The level of water stress was calculated as the proportion of water demand to the total water available after accounting for environmental flows. A threshold of 20% or 40% was used to demarcate medium or high water stress status, respectively (Xu & Wu, 2017).

For total freshwater, all sub-basins are at a high level of stress.In terms of surface and groundwater abstraction as well all the sub-basins are at high levels making it highly unsustainable. The total water demand for the agriculture, domestic, and industrial sectors is 315185 million litres per day (MLD). Agricultural water has the highest demand in the basin, requiring around 298074 MLD of freshwater. The domestic sector accounts for the second-highest freshwater consumption, requiring about 1,62,43 MLD. Preliminary estimates have shown that the industrial sector in the basin requires about 868 MLD of freshwater.

Around 80–85% of the water is used by the agricultural sector, which has an efficiency of only 35% (Ghosh, 2018). Large-scale irrigation in the basin has enhanced the economy in the region. The variation in recharge due to the land use change, and cheap and hence unsustainable water abstraction for irrigation, has put severe pressure on local water resources (Mijic, n.d.). Extensive groundwater abstraction has resulted in reduced base flows in the Ganga river. This affects crop production, which depends on surface water sources (Mukherjee et al., 2018). Surface water is abstracted more at the canal head areas, thus forcing farmers in the canal tail areas to over-abstract groundwater (Khan et al., 2014). There is also evidence of a huge amount of leakages from the canals built in the 19th and 20th centuries, which have significantly influenced groundwater trends today (The Hindu, 2016). About 95% of farmers still use the flooding method to irrigate their crops.

In addition to agriculture, the expansion of cities into megacities and large-scale industrialisation along the banks of rivers have added pressure to water availability (Kaushal et al., 2019). Encroachment and diversion of catchment areas for construction and developmental activities have reduced the rainwater retention capacity, thus preventing groundwater from getting recharged (Shrivastava, 2012).

Some existing gaps in the efficient use of freshwater are as follows:

- In the irrigation sector, there is no database for agricultural water utilisation. There is also a lack of monitoring systems for regulating uncontrolled groundwater abstraction. Water use efficiency methods like the proper lining of canals, and the use of mulches to save soil moisture, have not been effectively implemented in the basin. The lack of reuse options for irrigated water is another major issue in areas with high freshwater consumption. There has been a lack of awareness programmes for farmers to check their soil health and implement water-efficient irrigation systems.
- In the domestic sector, water loss is of two types: real and apparent. Real loss includes water lost through leakages in the distribution systems, service connections, and storage tanks (including overflow). Apparent loss includes meter and record inaccuracies and unauthorised water use including theft and unauthorised connection.

● A lack of efficient cooling technologies especially in high water demand industries like textile, paper and pulp, and iron and steel results in extensive consumption of water. Due to a lack of information, awareness, and motivation, few industries have proactively adopted the available best practices. Improper and irregular water auditing in industries is another reason for inefficient water use (Central Water Commission, 2014).

Figure 3.1: Map showing the level of water stress

Figure 3.2: Map showing the level of water stress of the groundwater

Figure 3.3: Map showing the level of water stress of the surface water

Table 3.1: Basin Context Metrics- Water Quantity

Chapter 4: Water Quality

Treatment of domestic and industrial wastewater

SDG 6.3.1 tracks the percentage of wastewater flows from households, services, and industrial premises that are treated in compliance with national or local standards.

The capacities of all the Sewage Treatments Plants (STPs) and Effluent Treatment Plants (ETPs) in each district were acquired. The water demand of both the domestic and industrial sectors was also obtained. Using the weighted average method, the values were calculated at the sub-basin level. A proportion of the total wastewater generated by the domestic and industrial sectors and the total capacity of the STPs and ETPs were then estimated for each sub-basin.

The amount of effluent generated by the domestic and industrial sectors is 12598 MLD. Of this, the domestic sector contributes 1,2994 MLD of wastewater, and the industrial sector 520 MLD. The existing treatment capacity is around 1.63% of the effluent generation. This shows the dismal reality of wastewater treatment, which is a major cause of the pollution of water bodies in the basin.

The population of the basin is predominantly poor, with unplanned urban and industrial development in the area adding stress to underdeveloped sanitary conditions. Multiple unauthorised colonies and illegal industries along the rivers that have no proper sewer connections directly discard sewage and effluents into the river (Babu, 2021). In most places, there are no on-site treatment facilities, which results in wastewater ending up in water bodies. Moreover, the STPs that have been sanctioned have not yet been constructed. The government's method, of adopting only engineering-centric approaches to solve the issue by creating only large STPs, has indeed added to the problem (Kaur, 2018).

Some existing gaps in the wastewater treatment capacity are as follows:

- Union and state governments do not have the funds to bear maintenance costs.
- There is a low level of skill among the maintenance staff.
- There is no sewerage network in the city.
- Limited access to a piped water supply results in low estimates of sewage generation, which is a reason for the inadequate installation of STPs.

Figure 4.1: Map showing the proportion of wastewater being treated

Water bodies with good ambient water quality

SDG 6.3.2 tracks the percentage of water bodies (rivers, lakes, and groundwater wells) in a country with good ambient water quality. Good water quality according to this indicator is an ambient quality that does not damage ecosystem function or human health. For this indicator, the proportion of lakes, rivers, and groundwater wells with good ambient water was estimated.

The water quality for all lakes, rivers, and groundwater was obtained for different parameters. The water quality of these bodies was then compared with the local water quality standards and SDG targets ([Annexure](#page-55-0) B) to see if they satisfied the standards of good ambient water quality. The percentage of water bodies that meet the good ambient quality standard was estimated for each sub-basin as well. It was found that very little data was available for the water quality of surface water bodies. Among the ones that were reported, it was observed that the overall ambient water quality was bad. Few regions in the basin are also affected by arsenic contamination (Figure 4.13) which is present in the water due to geogenic reasons.

During non-monsoon periods, the flow in rivers is low. Additionally, groundwater over-abstraction results in lower base flows in the rivers in the basin. Lower flows prevent rivers from maintaining self-purification capacity, thus contributing to the high concentration of pollutants. A major source of pollution in these water bodies is the unabated discharge of sewage from domestic sources (PTI, 2019; ENVIS, n.d.).

Inland salinity is caused by the practice of surface water irrigation without consideration for the groundwater status. The gradual rise of groundwater levels with time has resulted in water logging and heavy evaporation in semi-arid regions, leading to salinity problems in command areas (CGWB, n.d.). The groundwater in the basin is also highly polluted by nitrate. The high level of nitrogen pollution is caused by the extensive use of nitrogen fertiliser and manure, and domestic sewage (Munoth et al., 2015).

Some existing barriers to achieving good ambient water quality for water bodies are as follows:

- Data is unavailable for a large number of surface water bodies as they are not monitored.
- Wastewater treatment facilities are inadequate.
- There are no comprehensive solid waste management plans in the basin.
- There are no comprehensive fecal sludge management plans in the basin.

Figure 4.2: Map showing the water quality of lakes

Figure 4.3: Map showing the proportion of lakes with good ambient water quality

Figure 4.4: Map showing the water quality of rivers

Figure 4.5: Map showing the water quality of groundwater – Electrical Conductivity (EC) (SDG)

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Figure 4.11: Map showing the quality of the groundwater – nitrate (Indian)

Figure 4.12: Map showing the percentage of groundwater with good ambient water quality – nitrate (Indian)

Figure 4.13: Map showing the districts with Arscenic contamination in the groundwater

Figure 4.13: Map showing the sub-basins with Arscenic contamination in the groundwater

Chapter 5: Important Water-Related Areas

SDG 6.6.1 aims to track changes in water-related ecosystems over time. Remote sensing data is used to determine the changes to surface water bodies, such as lakes, large rivers, flooded wetlands, and reservoirs. For this indicator, Joint Research Centre (JRC) data was used to analyse 20 years of data on the area covered by surface water bodies in the basin.

Water is necessary to sustain ecosystems, both terrestrial and aquatic, in addition to its use for human consumption. Different levels of flow (high, low, flow during the lean season, flood flows, and drought flows) are necessary to protect the biodiversity of aquatic habitats. There is a need to maintain a balance between how much water is abstracted for anthropogenic use and how much should be left to protect aquatic habitats (Anantha, Bhadbhade, & Dharmadhikary, n.d.).

An analysis of the 20 years of data showed that on average there has been an increase in the area covered by surface water bodies in all sub-basins. The basin consists of water bodies like lakes, ponds, tanks, reservoirs, and old quarries. Most water bodies in the basin are abandoned water-filled quarries. The next most common are lakes and tanks constructed for irrigation purposes. A significant amount of area is under water-logged irrigation (India WRIS, 2014).

More than 80% of the annual flow in the upper Ganga basin occurs during the four-month monsoon, resulting in widespread flooding. Storage of this flow for utilisation during the dry season became prevalent with the expansion of agriculture (Khan et al., 2014). There are over 220 dams in the basin built mostly for irrigation, of which 17 generate hydroelectric power. Some of these dams played an important role in the country achieving self-sufficiency in food production (Rasul, 2014).

Uncontrolled groundwater abstraction through distributed pumping in adjoining aquifers has reduced the baseflow, thus, in turn, lowering streamflow, especially in the summer. This reduction in surface water could have a major effect on food production in the basin (Mukherjee et al., 2018).

Some existing gaps in maintaining an adequate quantity of water in surface water bodies are as follows:

- Not exploring alternatives like reusing and recycling wastewater is the reason for such high demands for freshwater.
- There is no monitoring system in place to regulate groundwate[r](https://empri.karnataka.gov.in/storage/pdf-files/Reports/Cauvery-Final%20Report.pdf) extraction.
- There are no incentives to regulate groundwater extraction.

Chapter 6: Water Governance

Implementation of integrated water resources management

SDG 6.5.1 aims to track the degree to which integrated water resources management (IWRM) is implemented. This is assessed using four key components of IWRM: enabling environment, institutions and participation, management instruments, and financing. The upper Ganga basin got a score of 43 on the assessment. This score indicates a moderate level of IWRM implementation.

Water is a state issue as per the Indian Constitution – it falls under the control of state governments and there is no dedicated river basin organisation for the management of water resources. Two national laws i.e. the Inter-state River Water Disputes Act, 1956 and River Boards Act, 1956 exist, but they are not strictly based on IWRM (India Code, 1956; Ministry of Water Resources, 1956). There are no basin-level institutional arrangements to monitor the actual operation of an inter-state river water agreement. The National Ganga River Basin Authority was set up by the government in 2009 to abate pollution and manage water at the river basin level. However, top–down approaches, end-of-the-pipe solutions, and a lack of data led to the failure of the Ganga Action Plan that they implemented. In 2016, the National Ganga Council was set up but has not held a single meeting since (DTE Staff, 2018). The huge amounts of funds allocated to the project are not disbursed properly, especially to local institutions working on water challenges in the basin (Tripathi, 2020).

There is no mechanism for the coordinated planning and management of water resources across sectors which can bring together citizens, state institutions, and private actors from the agricultural and industrial sectors. The basin also lacks management instruments to regulate and monitor groundwater use. Aquifer management plans have been prepared at the state level but have not yet been implemented. There are also no established aquifer-level management organisations.

The basin has a large command area with a historic canal, which is unlined. This reduces the efficiency of delivery but contributes majorly to recharges (Gangakosh, n.d.). Yet the management approach in the basin involves a complete disconnect between surface and groundwater.

Some existing barriers to implementing IWRM are as follows:

- Stakeholder participation, management instruments, and financing are low or non-existent at the basin level.
- Stakeholder participation and consultation are low in the context of making water management plans.
- The river basin management authority is inefficient.
- The allocation of funds has been improper.

Operational arrangement for water cooperation

SDG 6.5.2 tracks any operational arrangement for water cooperation within a river basin. This arrangement can be a bilateral or multilateral treaty, convention, agreement, or other formal arrangements between riparian states that provides a framework for cooperation.

Since water flows irrespective of administrative boundaries, management activities should be conducted at the basin level. Still, despite the benefits, the different states within the basin have not entered into any multilateral management agreement (Shahjahan & Harvey, 2011). There is also no water-sharing arrangement at the upper basin level. The Ganga Water Sharing Treaty, 1996 is only for solving disputes between India and Bangladesh over sharing Ganga water. Within the basin, resource allocation is mainly based on drinking and irrigation water requirements (Rahman et al., 2019). There are no schemes for managing water allocation in the basin.

Some existing barriers to the implementation of operational arrangements for water cooperation in the basin are as follows:

- Groundwater is treated as an independent source without consideration for its contribution to baseflows.
- No guidance has been given on how water should be allocated when water levels decline due to anthropogenic effects and not rainfall reduction.
- There is no basin-level organisation responsible for ensuring multilateral cooperation.

Amount of official development assistance received

SDG 6.a.1 tracks the amount of official development assistance (ODA) included in a government-coordinated spending plan. The following are some of the programmes in the upper Ganga basin that have received ODA:

- 1. The World Bank has provided assistance of USD 1 billion to the National Ganga River Basin Authority.
- 2. The Japan International Cooperation Agency (JICA) is supporting a project in Varanasi by providing INR 496.9 crore (NMCG, n.d.).

Participation by local communities

SDG 6.b.1 tracks the level of stakeholder participation in water and sanitation management within a country. There is no multidisciplinary institutional structure and few opportunities to bring non-state actors and water users together to actively participate in the consultation process. Decisions for water management are usually taken by state actors within government agencies, and such processes lack transparency. Public consultations are usually not conducted while taking management decisions. The current scheme has no provision for engagement with experts from multidisciplinary fields as well (Harsha, 2018). However, there are a few platforms that exist.

In the basin, of the seven states, only Uttar Pradesh has enacted the Uttar Pradesh Irrigation Management Act, 2009 to implement the participatory irrigation management (PIM) programme. Under the act, farmers are involved in the management of the irrigation system and water distribution. A legal framework would facilitate the creation of farmers' organisations at different levels of the irrigation systems (Ministry of Jalshakti, n.d.).

Under the Jal Jeevan Mission, a programme started by the central government, there is a provision for water groups at the village level (village water and sanitation committee (VWSC)/paani samiti/user group) to plan, implement, manage, operate, and maintain in-village water supply systems (Ministry of Jalshakti, 2021).

Some existing gaps in local participation in water and sanitation management are as follows:

- Platforms for stakeholder consultation and engagement are either limited or non-existent.
- Management decisions are made only in consultation with engineers and bureaucrats instead of involving all the stakeholders.
- Older water distribution projects have deteriorating control and measuring structures, leakages, and seepages, which prevent farmers from taking control of the system.
- There is a lack of funds allocated for the maintenance and operation of structures, preventing stakeholders from managing water resources.

Table 6.1: Basin Context Metrics- Water governance

Chapter 7: Water Crisis

Disaster reduction strategy

SDG 13.1 tracks the measures that strengthen resilience and adaptive capacity for climate-related hazards and natural disasters in all countries. In India, the Disaster Management Act, 2005 provides for the effective management of disasters. Under this act, the National Disaster Management Authority has been set up; this body lays down all policies and national plans for managing disasters. It also establishes guidelines for states and government departments towards the prevention of disasters. The National Disaster Management Plan, 2016 aligns the national plan with the Sendai Framework for Disaster Risk Reduction 2015–2030. This framework that was adopted at the Third UN World Conference on Disaster Risk Reduction outlines targets and priorities for action to prevent new and reduce existing disaster risks. In addition to this, all states have a state disaster management authority, and district disaster management authorities, which are responsible for coordinating mitigation, preparedness, response, and recovery measures at the local level. Each of these authorities has disaster management plans, in accordance with the Sendai Framework, which lay out the roles and responsibilities of different agencies during a disaster.

Several disaster risk reduction strategies have been adopted at the national and local levels. The following is a list of schemes initiated by the Disaster Management Division:

- 1. The National Cyclone Risk Mitigation Project (NCRMP) was launched by the Ministry of Home Affairs. It aims to minimise vulnerability to cyclones and make people and infrastructure disaster-resilient. The project costs INR 2,691 crore and is being funded by the central government with assistance from the World Bank (in the form of a loan) and state governments.
- 2. Infrastructure development for 10 battalions and 10 teams of the National Disaster Response Force (NDRF) scheme was sanctioned to increase the functional efficiency in administration, capacity building, and storage of specialised equipment for NDRF as well as relief stores and resources. The National Disaster Response Reserve (NDRR), India was created to maintain a central inventory of necessary relief equipment for a population of at least 2,50,000 people in the plain areas and store availability for a minimum of 1,50,000 people in hilly areas. The project costs about INR 250 crore.
- 3. The Aapda Mitra Scheme is a centrally sponsored scheme that focuses on training community volunteers in disaster response in the 30 most flood-prone districts of 25 states in India. It aims to train community volunteers in the skills needed to respond to their community's immediate needs in the aftermath of a disaster. These skills would enable them to complete basic relief and rescue tasks during emergencies. The project costs around INR 15.47 crore.
- 4. Strengthening of state disaster management authorities (SDMAs) and district disaster management authorities (DDMAs) was done by the National Disaster Management Authority. It aims to improve the effectiveness of all SDMAs and selected DDMAs and to make them operational by providing dedicated disaster management professionals to facilitate prevention, mitigation, preparedness, and capacity building in the context of threatening situations or disasters. The project costs INR 42.51 crore.
- 5. The NDRF Academy was set up in Nagpur to establish a dedicated practical training institution for first responders in the event of a disaster. The project costs INR 125.01 crore.
- 6. The National Emergency Communication Plan (Phase II) aims to set up reliable communication links between decision-makers at various levels and operational response teams at the disaster site. The project costs INR 16.4372 crore (Disaster Management Department, n.d.).

Financial assistance is provided to states through the Calamity Relief Fund (CRF) and National Calamity Contingency Fund (NCCF) for immediate rescue and relief operations. Allocations for state CRFs are made on the recommendation of finance commissions, contributed to by the central and state governments (National Institute of Disaster Management, 2018).

The Central Water Commission (CWC) is responsible for monitoring flood situations in the country during the designated flood periods by observing water levels/discharges along the major rivers in the country. It issues flood forecasts and warnings to the local administration/project authorities/state governments and other central ministries. The Indian Meteorological Department is the nodal agency that provides cyclone warnings in India. District Agriculture Contingency Plans (DACPs) provide guidance to the relevant government departments and farmers on what measures need to be taken during a natural disaster.

The Ganga Flood Control Commission was created in 1972. It is responsible for preparing a comprehensive plan for flood management and for implementing flood management works within the states in the basin (Shahjahan & Harvey, 2011).

The National Remote Sensing Centre has prepared a Flood Vulnerability Index map for the whole country. The map was made by integrating the maximum probable precipitation, runoff potential, and probable maximum runoff layers in the spatial decision support system environment using a multi-criteria evaluation technique (NRSC, n.d.).

The Indian Meteorological Department also uses the standardised precipitation index to monitor droughts. The index is based on long-term precipitation records in a region. The long-term record is fitted to a probability distribution, which is then transformed

into a normal distribution so that the mean Standard Precipitation Index (SPI)for the location and desired period is zero. Negative values indicate drought conditions and positive values indicate wet conditions (IMD, 2020).

Figure 7.1: Map showing the Flood Vulnerability Index of the upper Ganga basin

Figure 7.2: Map showing the Drought Vulnerability Index of the upper Ganga basin

Indicator	Current state	Desired end state						
Number of deaths, missing persons, and directly affected persons attributed to disasters per population of 1,00,000	Disaster reduction strategies exist at the national and local levels. There are enough funds allocated for disaster management.	Strong resilience and adaptive capacity to climate-related hazards and natural disasters in all countries by reducing the number of deaths, missing persons, and directly affected people.						
	There are early warning systems in place.							
Disaster risk reduction strategy at the national level	The Disaster Management Act, 2005 provides for the effective management of	National disaster risk reduction strategies in line with the Sendai Framework						

Table 7.1: Basin Context Metrics- Water crisis

Chapter 8: Recommendations

Based on our assessment of the baseline indicators in the Ganga basin, table 8 provides a list of opportunities for collective action.

Table 8: Opportunities for collective action

*Severity levels based on a qualitative assessment of sub-indicators.

Table 9: Existing multi-sector coalitions/partnerships in the water sector

Chapter 9: Limitations

- 1. For assessing the water stress,
	- a. The total water availability(surface and groundwater) is not available at the sub-basin scale both in the literature as well as in the government reports. Thus, our study chose the basin wise water availability and divided it between sub-basins based on the area.
	- b. The environmental flow data is not available at the sub-basin scale both in the literature as well as in the government reports. Thus, our study has chosen the total quantity allocated at the basin level in the river basin treaties and then divided it between sub-basins based on their area.
- 2. For assessing the ambient water quality of surface water bodies, data was unavailable for most of the surface water bodies that fall within the limits of a town/city. For these water bodies it was assumed that they are most likely to have bad ambient water quality given the low treatment capacity in the region.
- 3. For assessing the water crisis, due to data constraints the analysis had to be done at the state level, making it difficult to understand the severity of the situation at local levels like sub-basin.
- 4. All the water-related data provided by the government reports are present at the administrative boundary level (like the district of the state). While converting this data to a hydrological boundary could have led to the loss of some finer details.

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Annexure A

Crop water requirement is the amount of water needed to raise a crop. This includes water necessary to meet both consumptive and special needs, such as land preparation, land submergence, leaching, and so on. Effective rainfall refers to the utilisable rainfall. (Figure 8) can be used to estimate the amount of effective rainfall as related to the mean monthly rainfall in the region (FAO, n.d.).

Normal Monthly	Average Monthly Consumptive Use (mm)																					
Rainfall (mm)	25	50	75	100	125	150	175	200	225	250	275	300	325	350	375	400	425	450	475	500	525	550
	Effective Rainfall																					
12.5	7.5	8	8.7	9	9.2	10	10.5	11.2	11.7	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5	12.5
25	15	16.2	17.5	18	18.5	19.7	20.5	22	24.5	25	25	25	25	25	25	25	25	25	25	25	25	25
37.5	22.5	24	26.2	27.5	28.2	29.2	30.5	33	36.2	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5	37.5
50	25	32.2	34.5	35.7	36.7	39	40.5	43.7	47	50	50	50	50	50	50	50	50	50	50	50	50	50
62.5	25	39.7	42.5	44.5	46	48.5	50.5	53.7	57.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5	62.5
75	25	46.2	49.7	52.7	55	57.5	60.2	63.7	67.5	73.7	75	75	75	75	75	75	75	75	75	75	75	75
87.5	25	50	56.7	60.2	63.7	66	69.7	73.7	77.7	84.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5	87.5
100	25	50	63.7	67.7	72	74.2	78.7	83	87.7	95	100	100	100	100	100	100	100	100	100	100	100	100
112.5	25	50	70.5	75	80.2	82.5	87.2	92.7	98	105	111	112	112	112	112	112	112	112	112	112	112	112
125	25	50	75	81.5	87.7	90.5	95.7	102	108	115	121	125	125	125	125	125	125	125	125	125	125	125
137.5	25	50	75	88.7	95.2	98.7	104	111	118	126	132	137	137	137	137	137	137	137	137	137	137	137
150	25	50	75	95.2	102	106	112	120	127	136	143	150	150	150	150	150	150	150	150	150	150	150
162.5	25	50	75	100	109	113	120	128	135	145	153	160	162	162	162	162	162	162	162	162	162	162
175	25	50	75	100	115	120	127	135	143	154	164	170	175	175	175	175	175	175	175	175	175	175
187.5	25	50	75	100	121	126	134	142	151	161	170	179	185	187	187	187	187	187	187	187	187	187
200	25	50	75	100	125	133	140	148	158	168	178	188	196	200	200	200	200	200	200	200	200	200
225	25	50	75	100	125	144	151	160	171	182												
250	25	50	75	100	125	150	161	170	183	194												
275	25	50	75	100	125	150	171	181	194	205												
300	25	50	75	100	125	150	175	190	203	215												
325	25	50	75	100	125	150	175	198	213	224												
350	25	50	75	100	125	150	175	200	220	232												
375	25	50	75	100	125	150	175	200	225	240												
400	25	50	75	100	125	150	175	200	225	247												
425	25	50	75	100	125	150	175	200	225	250												
450	25	50	75	100	125	150	175	200	225	250												

Figure 8: Table to estimate effective rainfall

Annexure B

The Central Pollution Control Board classifies water bodies based on various values of a water quality parameter (CPCB, 2019). For our study, we have used Class D in Table 10 as a criterion to identify surface bodies with good ambient water quality that is suitable for the propagation of wildlife and fisheries.

Table 10: Water quality criteria to classify water bodies into designated best use

The CGWB uses the BIS standards to classify the quality of groundwater levels. According to these standards, nitrate level in the water should be less than or equal to 45 mg/l and the salinity level less than or equal to 746 µS/cm (BIS, 2012). The SDG indicator uses the criteria given in (Figure 9) to classify the ambient water quality (Warner, 2020).

Figure 9: Water quality criteria by SG to classify water bodies with good ambient water quality that can support wildlife and fisheries.

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